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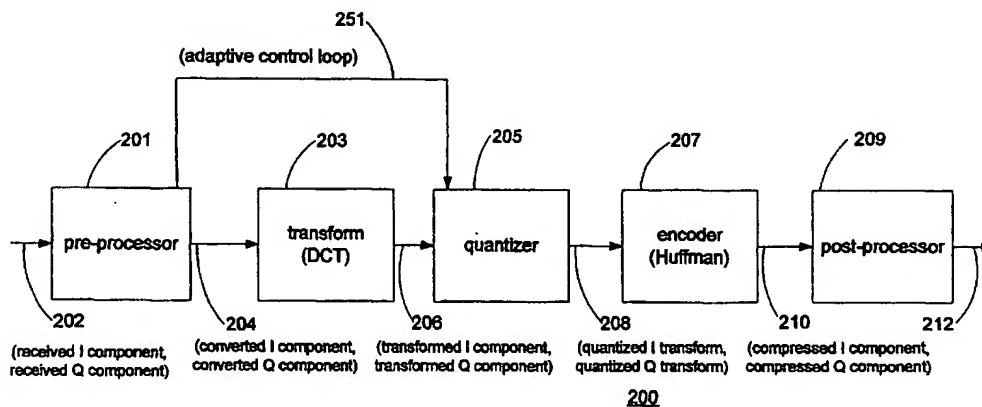
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(54) Title: MEASUREMENT AND SIGNATURE INTELLIGENCE ANALYSIS AND REDUCTION TECHNIQUE



(57) Abstract: Methods and apparatus compress data, comprising an In-phase (I) component and a Quadrature (Q) component. The compressed data (212) may be saved into a memory or may be transmitted to a remote location for subsequent processing or storage. Statistical characteristics (505, 507) of the data are utilized to convert the data into a form that requires a reduced number of bits in accordance with the statistical characteristics. The data may be further compressed by transforming the data, as with a discrete cosine transform (203), and by modifying the transformed data in accordance with a quantization conversion table (205) that is selected using a data type associated with the data. Additionally, a degree of redundancy may be removed from the processed data with an encoder (207). Subsequent processing (1200) of the compressed data may decompress the compressed data in order to approximate the original data by reversing the process for compressing the data with corresponding inverse operations.

## MEASUREMENT AND SIGNATURE INTELLIGENCE ANALYSIS AND REDUCTION TECHNIQUE

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This application claims priority to U.S. Provisional Application Serial. No. 60/392,316 entitled '*Measurement and Signature Intelligence Analysis and Reduction Technique*', and filed June 28, 2002, and U.S. Application No. 10/269,818, entitled '*Measurement and Signature Intelligence Analysis and Reduction Technique*', and filed October 11, 2002.

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### FIELD OF THE INVENTION

The present invention relates to compressing and decompressing data such as synthetic aperture radar data.

### BACKGROUND OF THE INVENTION

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Compression of Synthetic Aperture Radar (SAR) data may require that both magnitude and phase information be preserved. Figure 1 shows data processing of synthetic aperture radar data according to prior art. Synthetic aperture radar data 102 are typically collected in analog format by an antenna 101 and is converted to digital format through an Analog-to-Digital (A/D) converter 103. The raw, unprocessed data are referred to as Video Phase History (VPH) data 104, and comprise two components: In-phase (I) and Quadrature (Q). Video phase history data 104 having multiple components, such as I and Q, are typically referred as complex SAR data. Complex SAR data are essential for the generation of complex SAR applications products such as interferograms, polarimetry, and coherent change detection, in which a plurality of such images must be processed and compared.

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Video phase history data 104 are then passed through a Phase History Processor (PHP) 105 where data 104 are focused in both range (corresponding to a range focusing apparatus 107) and azimuth (corresponding to an azimuth focusing apparatus 109). The output of phase history processor 105 is referred to as Single Look Complex (SLC) data 110. A detection function 111 processes SLC data 110 to form a detected image 112.

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Existing complex SAR sensors collect increasingly large amounts of data. Processing the complex data information and generating resultant imagery products may utilize four to eight times the memory storage and bandwidth that is required for the detected data (I&Q). In fact,

some studies suggest exponential growth in associated data throughput over the next decade. However, sensors are typically associated with on-board processors that have limited processing and storage capabilities. Moreover, collected data are often transmitted to ground stations over a radio channel having a limited frequency bandwidth. Consequently, collected data may require compression in order to store or transmit collected data within resource capabilities of data collecting apparatus. Also, a SAR compression algorithm should be robust enough to compress both VPH data 104 and SLC SAR data 110, should produce visually near-lossless magnitude image, and should cause minimal degradation in resultant products 112.

Several compression algorithms have been proposed to compress SAR data. However, while such compression algorithms generally work quite well for magnitude imagery, the compression algorithms may not efficiently compress phase information. Moreover, the phase component may be more important in carrying information about a SAR signal than the magnitude component. With SAR data 102, compression algorithms typically do not achieve compression ratios of more than ten to one without significant degradation of the phase information. Because many of the compression algorithms are typically designed for Electro/Optical (EO) imagery, the compression algorithms rely on high local data correlation to achieve good compression results and typically discard phase data prior to compression. Table 1 lists several compression algorithms discussed in the literature and provides a brief description of each.

Table 1: Popular Alternative SAR Data Compression Algorithms	
Compression Algorithm	Description
Block Adaptive Quantization (BAQ)	Choice of onboard data compression methods due to simplicity in coding and decoding hardware. Low compression ratios achieved (< 4:1).
Vector Quantization (VQ)	Codebook created assigning a number for a sequence of pixels. Awkward implementation since considerable complexity required in codebook formulation.
Block Adaptive Vector Quantization (BAVQ)	Consists of first compressing data with BAQ and then following up with VQ. Similar to BAQ.
Karhunen-Loeve Transform (KLT)	Statistically optimal transform for providing uncorrelated coefficients; however, computational cost is large.

Table 1: Popular Alternative SAR Data Compression Algorithms	
Compression Algorithm	Description
Fast Fourier Transform BAQ (FFT-BAQ)	2-D Fast Fourier Transform (FFT) performed on raw SAR data. Before raw data is transformed, dynamic range for each block is decreased using a BAQ.
Uniform Sampled Quantization (USQ)	Emphasizes phase accuracy of selected points.
Flexible BAQ (FBAQ)	Based on minimizing mean square error between original and reconstructed data.
Trellis-Coded Quantization (TCQ)	Unique quantizer optimization design. Techniques provide superior signal to noise ratio (SNR) performance to BAQ and VQ for SAR.
Block Adaptive Scalar Quantization (BSAQ)	BSAQ's adaptive technique provides some performance improvement.

Existing optical algorithms are inadequate for compressing complex multi-dimensional data, such as SAR data compression. For example with optical imagery, because of a human eyesight's natural high frequency roll-off, the high frequencies play a less important role than low frequencies. Also, optical imagery has high local correlation and the magnitude component is typically more important than the phase component. However, such characteristics may not be applicable to complex multi-dimensional data. Consequently, a method and apparatus that provides a large degree of compression without a significant degradation of the processed signal are beneficial in advancing the art in storing and transmitting complex multi-dimensional data. Furthermore, the quality of the processed complex multi-dimensional data is not typically visually assessable. Thus, a means for evaluating the effects of compression on the resulting processed signal is beneficial to adjusting and to evaluating the compression process.

### BRIEF SUMMARY OF THE INVENTION

The present invention provides methods and apparatus for compressing data comprising an In-phase (I) component and a Quadrature (Q) component. The compressed data may be saved into a memory or may be transmitted to a remote location for subsequent processing or storage. Statistical characteristics of the data are utilized to convert the data into a form that requires a reduced number of bits in accordance with its statistical characteristics. The data may be further compressed by transforming the data, as with a discrete cosine transform, and by modifying the transformed data in accordance with a quantization conversion table that is

selected using a data type associated with the data. Additionally, a degree of redundancy may be removed from the processed data with an encoder. Subsequent processing of the compressed data may decompress the compressed data in order to approximate the original data by reversing the process for compressing the data with corresponding inverse operations.

5           In a first embodiment of the invention, data are compressed with an apparatus comprising a preprocessor, a transform module, a quantizer, an encoder, and a post-processor. The preprocessor separates the data into an I component and a Q component and bins each component according to statistical characteristics of the data. The transform module transforms the processed data into a discrete cosine transform that is quantized by the quantizer using a  
10       selected quantization conversion table. The encoder partially removes redundancy from the output of the quantizer using Huffman coding. The resulting data can be formatted by a post-processor for storage or transmittal. With a second embodiment, the preprocessor converts the I and Q components into amplitude and phase components and forms converted I and Q components.

15           Variations of the embodiment may use a subset of the apparatus modules of the first or the second embodiment. In a variation of the embodiment, the apparatus comprises a preprocessor, a transform module, and a quantizer.

#### BRIEF DESCRIPTION OF THE DRAWINGS

20           A more complete understanding of the present invention and the advantages thereof may be acquired by referring to the following description in consideration of the accompanying drawings, in which like reference numbers indicate like features and wherein:

Figure 1 shows data processing of synthetic aperture radar data according to prior art;

Figure 2 shows an apparatus for compressing data in accordance with an embodiment of the invention;

25           Figure 3 shows a preprocessor apparatus for preprocessing a complex image in accordance with an embodiment of the invention;

Figure 4A shows a process for binning data associated with a complex image in accordance with an embodiment of the invention;

Figure 4B shows a process for truncating magnitude and phase components of a complex image in accordance with an embodiment of the invention;

Figure 5 shows probability density functions that are associated with In-phase (I) and Quadrature (Q) components of exemplary synthetic aperture radar (SAR) data;

5        Figure 6 shows Root Mean Square Error (RMSE) values that are associated with magnitude and phase data for processed signal data as shown in Figure 2 in accordance with an embodiment of the invention;

Figure 7 shows a partitioning of complex image data in order to obtain Discrete Cosine Transform (DCT) in accordance with an embodiment of the invention;

10        Figure 8 shows an apparatus for quantizing Discrete Cosine Transform (DCT) data in accordance with an embodiment of the invention;

Figure 9 shows a representative histogram for a low order Discrete Cosine Transform (DCT) coefficient in accordance with an embodiment of the invention;

15        Figure 10 shows a representative histogram for a high order Discrete Cosine Transform (DCT) coefficient in accordance with an embodiment of the invention;

Figure 11 shows a heuristic process for determining a quantization matrix according to an embodiment of the invention; and

Figure 12 shows an apparatus for decompressing data in accordance with an embodiment of the invention.

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## DETAILED DESCRIPTION OF THE INVENTION

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In the following description of the various embodiments, reference is made to the accompanying drawings which form a part hereof, and in which is shown by way of illustration various embodiments in which the invention may be practiced. It is to be understood that other embodiments may be utilized and structural and functional modifications may be made without departing from the scope of the present invention.

Figure 2 shows an apparatus 200 for compressing Synthetic Aperture Radar (SAR) data 202 in accordance with an embodiment of the invention. Synthetic Aperture Radar (SAR) data

202 can be compressed by apparatus 200 from Video Phase History (VPH) data format 104 or from a processed version typically referred to as a single look complex SLC format 110. There are advantages and disadvantages associated with each format. VPH data 104 is available almost immediately, but is highly uncorrelated. Single look complex SLC data 110 exhibits some local correlation. SLC data 110 may yield slightly better compression results than with VPH data 104, but SLC 110 data are only available after processing has occurred.

Other embodiments of the invention may support other applications of complex multidimensional data, including weather data, oil and gas exploration data, encrypted/decrypted data, medical archival of MRI/CTI and three dimensional sonograms, digital video signals, and modern applications.

Referring again to Figure 2, apparatus 200 comprises a preprocessor 201, a transform module 203, a quantizer 205, an encoder 207, and a post-processor 209 in order to provide compressed data 212. SAR data 202 may comprise SAR pixel data that may be provided in the form of two floating-point numbers representing In-phase (I) and Quadrature (Q) components. (SAR data 202 may be considered as being "received" even though the data may not be received from a radio receiver but obtained from a memory that stores the data.) Preprocessor 201 may convert the I and Q components to Magnitude (M) and Phase ( $\phi$ ) components in accordance with a second embodiment as will be discussed in the context of Figure 4B. Additionally, preprocessor 201 may convert the I and Q components into magnitude and phase components to facilitate viewing SAR data 202. The magnitude and the phase components may be obtained from the in-phase and quadrature components by using Equations 1 and 2.

$$M = (I^2 + Q^2)^{1/2} \quad (\text{EQ. 1})$$

$$\phi = \tan^{-1} (Q / I) \quad (\text{EQ. 2})$$

Moreover, I and Q components may be obtained from the magnitude and the phase components by using Equations 3 and 4.

$$I = M \cos \phi \quad (\text{EQ. 3})$$

$$Q = M \sin \phi \quad (\text{EQ. 4})$$

Additionally, the power of a SAR signal may provide good visual results when printing intensity (magnitude-only) imagery. The power of a SAR signal may be obtained from Equation 5.

$$P = 20 \log_{10} M^2 \text{ (EQ. 5)}$$

The conversion between (I, Q) and (M,  $\phi$ ) as expressed in EQs. 1-4 allows SAR data 202 to be studied in both data formats before and after compression. When SAR data 202 are represented as magnitude and phase components, additional bits may be allocated to the phase component versus the magnitude component to achieve the least degradation of the phase product, depending on characteristics of SAR data 202. In an embodiment, more bits (e.g. as six bits) of the phase component and fewer bits (e.g. two bits) of the magnitude component are used to generate compressed I and Q components. Conversely, when SAR data 202 are represented by in-phase and quadrature components, apparatus 200 can process the in-phase component separately from the quadrature component for a single complex image.

Preprocessor 201 also determines a data type (as discussed in the context of Figure 3) and informs quantizer 205 through an adaptive control loop 251.

Figure 3 shows preprocessor apparatus 201 (as shown in Figure 2) for preprocessing complex image 202 in accordance with an embodiment of the invention. Preprocessor apparatus 201 reduces the number of bits that are needed to represent complex data (I,Q) within a specified degradation (corresponding to an error metric). Typically, VPH data 104 or SLC 110 data are represented by (I,Q) data pairs 202, in which each pair uses 64, 32, or 16 bits, and where I and Q are separately represented in 32, 16, or 8-bit formats, respectively. Data 202 may be formatted in which an ordering of the most significant to the least significant bytes may be reversed with respect to the assumed order that preprocessor 201 processes data 202. In such a case, preprocessor 201 may perform "byte swapping" to reorder data 202 in accordance with the assumed ordering of the constituent bytes.

An adaptive source data calculations module 301 separately processes the I and Q components of (I,Q) data pairs 202 in order to determine corresponding statistical characteristics. (An example of statistical characteristics is shown in Figure 5, in which the I component has approximately the same statistical characteristics as the Q component.) In the embodiment, a general-purpose computer (e.g. an associated microprocessor) measures the number of occurrences of the I component or the Q component as a function of the value of the I component or the Q component. Additionally, adaptive source data calculations module 301 performs header analysis by reading information provided at the beginning of a data file comprising data 202 in order to determine the format of the data being analyzed, e.g. the number

of bits that are associated with (I,Q) data 202. Module 301 also performs data analysis that provides statistical characteristics of data 202 as may be characterized by probability density functions of the I component and the Q component (as exemplified by Figure 5). Module 301 determines a bin assignment that may vary with the a value of the I or Q component. In the embodiment, a size of a bin is inversely related to a value of the probability density function at a midpoint of the bin. A calculations module 303 uses the statistical characteristics of data 202 to assign the I and Q components into bins. A module 305 uses the bin identity to form the I' and Q' components (converted I component and converted Q component, corresponding to data 204 in Figure 2), having 8-bit integer values between 0 and 255 by efficiently allocating bins, in which most of the bins are assigned to a range containing the most data points. For example, data (corresponding to either I or Q) may range from -10,000 to +10,000 units, in which over 99.9% of the data are contained with a range of -2,000 to +2,000 units. In such a case, most of the bins would be allocated between the smaller range (i.e. -2000 to +2,000 units) rather than the larger range (i.e. -10,000 to +10,000 units).

In a variation of the embodiment, Single Look Complex (SLC) data 110 are transformed using a Fast Fourier Transform (FFT) prior to binning data 202 by modules 303 and 305, wherein a transformation of SLC data 110 has statistical characteristics that are similar to VPH data 104. (In the embodiment, modules 303 and 305 bin data 202 by first processing the I component and subsequently processing the Q component.) However, other embodiments of the invention may utilize other transform types in order to modify statistical characteristics of the data. After quantization by modules 303 and 305, the transformed SLC data are inversely transformed using an Inverse Fast Fourier Transform (IFFT).

Figure 4A shows a process for binning data associated with complex image data 202, as performed by module 303 in accordance with an embodiment of the invention. Complex image data 202 are separated into I and Q components by a module 403. If the most to the least significant bytes need to be reordered, modules 405 and 413 swap bytes for the I component and Q component, respectively. Modules 407 and 415 determine the probability density functions for the I component and the Q component, respectively over data files (comprising static images of a data gathering session). As discussed in the context of Figure 5, the probability density functions of the I component and the Q component may be essentially the same so that embodiments of the invention may utilize one module by separately processing the I and Q components. Modules 409 and 417 bin the I component and the Q component, respectively. The

greater the probability density function  $p(x_i)$ , where  $x_i$  is the center value of the  $i^{\text{th}}$  bin, the smaller the range of the  $i^{\text{th}}$  bin in order to provide better resolution for data within the  $i^{\text{th}}$  bin.

Figure 4B shows a process for truncating magnitude and phase components of a complex image in accordance with a second embodiment of the invention. In a second embodiment of the invention, module 305 of preprocessor apparatus 201 may utilize a different number of bits that are associated with the phase component ( $\phi$ ) than is associated with the magnitude component (M). In the embodiment, fewer bits from the magnitude component (a truncation of M) and more bits from the phase component (a truncation of  $\phi$ ), as determined from Equations 1 and 2 by converting I and Q into M and  $\phi$ , are used to generate compressed components I' and Q', as determined from Equations 3 and 4 by converting the truncations of M and  $\phi$  into I' and Q'. Allocating more bits from the phase component helps preserve phase information, as may be the case with Video Phase History (VPH) data 104. As shown in Figure 4B, complex image data 202 is separated into I and Q components by module 453. The I and Q components are converted into magnitude and phase components by module 455. Module 457 truncates the magnitude and phase components in order to retain a desired number of bits from each of the components. Module 459 converts the truncated portions of the magnitude and phase components to form compressed components I' and Q' (corresponding to data 461).

Apparatus 200 may use the same statistical modeling for the In-phase (I) and Quadrature (Q) components if both components have approximately the same statistical characteristics. Figure 5 shows probability density functions that are associated with in-phase and quadrature components of exemplary synthetic aperture radar data. A number of pixels 501 is shown in relation to a corresponding pixel values 503 for a typical SAR image. A Probability Density Function (PDF) 507 for the in-phase component and a probability density function 505 for the quadrature component are approximately the same. Figure 5 suggests that apparatus 200 may process both the in-phase component and the quadrature components in the same way without incurring a large error. If probability density function 507 is essentially the same as probability density function 505, then one may obtain a probability density of one of the components (either PDF 507 or PDF 505) and approximate the probability density function of the other component by the obtained probability density function. However, other embodiments of the invention may use different statistical relationships for the in-phase component and the quadrature component if the statistics characteristics differ appreciably.

Preprocessor 201 accommodates different sensor types regarding a data format and a number of bits per pixel. (A pixel corresponds to a point in the corresponding image being scanned by a radar system.) SAR data 202 are typically 64 bits (with 32 bits for the I component and 32 bits for the Q component for each pixel) or 32 bits (with 16 bits for the I component and 16 bits for the Q component for each pixel). Preprocessor 201 determines the range of pixel values and the best bin assignment. Values of the I and Q components are converted to 8-bit formats with more bits being allocated from the associated phase component than the magnitude component before reducing the I and Q components to 8-bit formats. (As previously discussed, two bits from the magnitude component and six bits from the phase component, as determined from Equations 1 and 2 by converting I and Q into M and  $\phi$ , are used to generate compressed components I' and Q', as determined from Equations 3 and 4 by converting the truncations of M and  $\phi$  into I' and Q'.)

Figure 6 shows Root Mean Square Error (RMSE) values that are associated with magnitude and phase data for processed data (e.g. processed SAR data 204) as shown in Figure 2 in accordance with an embodiment of the invention. (The root mean square error is a measure of the quantization error by relating the compressed data with the original data.) Values 601 are related to an assigned number of bits per pixel 603 for phase data 605, magnitude data 607 (with a linear-log representation), and magnitude data 609 (no linear-log representation). Similarly, calculations may be performed for (I, Q) data. Root mean square error and Peak Signal to Noise Ratio (PSNR) figures of merit may be initially used as a basis for designing preprocessor 201 and for the evaluating the compressed imagery.

Processed SAR data 204 (comprising a converted I component and a converted Q component) are further processed through transform module 203 using a Discrete Cosine Transform (DCT) in order to obtain the frequency representation of the in-phase and the quadrature data as transformed data 206 (comprising a transformed I component and a transformed Q component). As will be discussed in the context of Figure 7, the converted I component and the converted Q component of SAR data 204 are separately partitioned into smaller blocks. (Each block is essentially independent of other blocks so that each block may be processed individually in order to process an entire image.) The discrete cosine transform is well known in the art, and is given by Equation 6.

$$B(k_1, k_2) = \sum_{i=0}^{N_1-1} \sum_{j=0}^{N_2-1} 4 \cdot A(i, j) \cdot \cos\left[\frac{\pi \cdot k_1}{2 \cdot N_1} \cdot (2 \cdot i + 1)\right] \cdot \cos\left[\frac{\pi \cdot k_2}{2 \cdot N_2} \cdot (2 \cdot j + 1)\right] \quad (\text{EQ. 6})$$

In Equation 6, pair (i,j) represents a pixel of processed SAR data 204 within a block (which is a portion, A(i,j) represents a corresponding in-phase or quadrature value of the pixel, and B(k<sub>1</sub>,k<sub>2</sub>) represents a corresponding DCT coefficient, where pair (k<sub>1</sub>,k<sub>2</sub>) identifies the DCT coefficient in the DCT matrix. In the embodiment, a DCT coefficient is calculated over an eight by eight pixel block, i.e. N<sub>1</sub> and N<sub>2</sub> equal 8, although other embodiments of the invention may use a different value for N. (The collection of DCT coefficients may be represented by an 8 by 8 matrix.)

Figure 7 shows a partitioning of complex image data in order to obtain Discrete Cosine Transform (DCT) data in accordance with an embodiment of the invention. In the embodiment, SAR data 202 comprise the I component and the Q component, each component corresponding to a large (such as 1024 by 1024) data file 701. Transform module 203 partitions each file 701 into a square (such as 8 by 8 block) (DCT matrix), e.g. blocks 703 and 705. Transform module 203 processes each block (e.g. 703 and 705) in accordance with Equation 6. In order to process the entire data file 701, preprocessor 201 processes 128 partitions for both the I component and the Q component.

Figure 8 shows apparatus 205 for quantizing Discrete Cosine Transform (DCT) data in accordance with an embodiment of the invention. Quantizer 205 comprises an adaptive table generation module 801, an adaptive table selection module 803, and a perform\_data\_quantization module 805. Adaptive table generation module 801 generates a new quantization conversion table (which contains a quantization matrix that is used for further data compression as will be explained) for a new data type and stores the new quantization conversion table into a knowledge database 807 through an interface 809 when functioning in a training mode but not during an operational mode. During the operational mode, transformed data 206 are processed by adaptive table selection module 803 and perform\_data\_quantization module 805. Depending upon the data type, as identified by adaptive control loop 251 from preprocessor 201, adaptive table selection module 803 selects an appropriate quantization conversion table, which comprises an 8 by 8 quantization matrix, from knowledge database 807 through an interface 811. If adaptive table selection module 803 cannot identify an appropriate quantization conversion table from adaptive control loop 251, module 803 selects a default

quantization conversion table. A quantization conversion table may correspond to different data types that are dependent upon factors including the type of radar, processing platform (which may affect the number of bits associated with SAR data 202), and topography that is associated with SAR data 202.

5           Each element of a DCT matrix (e.g. matrix 703) is arithmetically divided by a corresponding element of the quantization matrix and rounded to an integer, thus providing quantized DCT data 208 (comprising a quantized I transform or a quantized Q transform). Each element of the quantization matrix is determined by statistics for the corresponding DCT coefficient in accordance with a specified maximum error (e.g. a root mean square error, a peak  
10   signal to noise ratio, and a byte by byte file comparison). (Figures 9 and 10 show statistics for the (1,1) and the (7,7) DCT coefficients, respectively.) The larger the value of an element of the quantization matrix, the greater the corresponding step size (with less resolution). However, dividing an element of the DCT matrix by a larger number reduces the quantized value. If the quantized value is sufficiently reduced, the resulting value may be considered as being zero by  
15   encoder 207 if a specified maximum error (e.g. the root mean square error) is satisfied.

          In a variation of the embodiment, the quantization matrix may be determined by reducing a Measurement and Signature Intelligence (MASINT) product distortion. (In some cases, the reduction may correspond to a minimization of the distortion.) The distortion may be determined from interferometric SAR, coherent change detection (CCD), and polarimetry  
20   products. Interferometric SAR (IFSAR) is a comparison of two or more coherent SAR images collected at slightly different geometries. The process extracts phase differences caused by changes in elevation within the scene. IFSAR produces digital terrain elevation data suitable for use in providing terrain visualization products. (Products are generally referred as Digital Elevation Models (DEM).) These products are used in mapping and terrain visualization  
25   products. The advantage of IFSAR height determination is that is much more accurate than other methods, such as photo/radargrammetry methods that use only the intensity (magnitude) data, because phase is used and height determination is done with wavelength measurements which are very accurate (i.e. for commercial systems at C Band (5 GHz) approximately 5.3 cm)).

          Coherent Change Detection (CCD) is a technique involving the collection and  
30   comparison of a registered pair of coherent SAR images from approximately the same geometry collected at two different times (before and after an event). The phase information, not the

magnitude, is used to determine what has changed between the first and second collection. This can determine scene changes to the order of a wavelength (5.3 cm) and may denote ground changes/activity occurring between collections.

5 Polarimetry products are generally collected using systems that can independently radiate and collect vertical and horizontal complex SAR data. This technique is accomplished by alternately radiating vertical and horizontally polarized SAR pulses, receiving on both horizontal and vertical antennas, and saving the complex data from each. The product formed is a unique target signature for objects with an associated complex polarized radar reflectance. This technique is used in many automatic target recognition systems (ATR).

10 In a variation of the embodiment, each member of the quantization matrix (associated with a quantization conversion table) is determined by a heuristic process 1100 as shown in Figure 11. A quantization matrix for SAR data 202 may be determined by selecting an element of the quantization matrix in step 1103 and perturbing the value of the selected element in step 1105. In step 1105, the selected element is incremented and decremented by incremental values. 15 In step 1107, root means square errors (RSME) are calculated for different compression ratios. The selected value of the selected element is the value corresponding to a minimal root mean square error. If there are more elements in the quantization matrix to be processed, as determined in step 1109, the element indices (i,j) are incremented in step 1111, and the next element is selected in step 1103. Steps 1105 and 1107 are repeated for the next element. The 20 calculation of the quantization matrix is completed after all the elements are processed.

In another variation of the embodiment, the quantization matrix is determined by the statistical characteristics of the DCT matrix, as was previously discussed. The quantization matrix is subsequently modified according to heuristic process 1100.

25 Transformed data 206 are quantized according to corresponding transform statistics that are associated with the DCT coefficients. DCT coefficients can be represented as departures from a standard statistical distribution function (e.g., Laplacian, Gaussian, or Rayleigh). (A Laplacian function has a form of  $e^{-|x|}$ , while a Gaussian function has a form of  $e^{-x^2}$ .) Figure 9 shows a representative histogram for a low order Discrete Cosine Transform (DCT) coefficient, DCT coefficient (1,1), in accordance with an embodiment of the invention. A number of 30 observations 901 is shown in relation to corresponding bin values 903. Actual data 905 is shown along with a Laplacian relation 907 and a Gaussian relation 909. Also, Figure 10 shows a

representative histogram for a high order Discrete Cosine Transform (DCT) coefficient, DCT coefficient (7,7), in accordance with an embodiment of the invention. A number of observations 1001 is shown in relation to corresponding bin values 1003. Actual data 1005 is shown along with a Laplacian relation 1007 and a Gaussian relation 1009. Analysis of the exemplary SAR data reveals a relationship with respect to the low order and high order DCT coefficients. By plotting the Laplacian and Gaussian functions and comparing the corresponding values with the DCT coefficient data of the exemplary SAR data, it is determined that low order terms can be better represented by the Laplacian function, and the higher order terms can be better represented by the Gaussian function for typical SAR data. Quantization by quantizer 205 is designed by accounting for the complex SAR image DCT statistics as exemplified by Figures 9 and 10. As the probability distribution becomes more focused about a zero value for a DCT coefficient, the less is the relative significance of the DCT coefficient with respect to other DCT coefficients. Consequently, the corresponding entry in the quantization conversion table may be greater for the DCT coefficient.

Other embodiments of the invention may utilize other transform types such as a Discrete Fourier Transform (DFT) or a discrete z-transform, both transforms being well known in the art. However, with a selection of a different transform, the transform statistics may be different as reflected by the design of quantizer 205.

Quantized SAR data 208 are consequently processed by encoder 207 (e.g. a Huffman encoder). Each output 210 (comprising a compressed I component and a compressed Q component) of encoder 207 comprises an encoder pair (comprising a number of zeros that precede output 210 and a number of bits that represent a value of the corresponding DCT coefficient) and the value of the corresponding DCT coefficient (SAR data 208). Encoder 207 may provide additional compression by removing a degree of redundancy that is associated with the encoder pair and SAR data 208 (in which frequently occurring data strings that are associated with the quantized DCT coefficients are replaced with shorter codes). Other embodiments of the invention may utilize other types of encoders such as Shannon Fano coding and Arithmetic coding. Encoder 207 provides encoded data 210 to post-processor 209.

Post-processor 209 may further process encoded data 210 in order to format data 210 into a format that is required for storing (that may be associated with archiving compressed data) or for transmitting compressed data 212 through a communications device. In the embodiment,

the communications device may be a radio frequency transmitter that transmits from a plane to a monitoring station, utilizing a radio data protocol as is known in the art. In the embodiment, for example, post-processor 209 may format a data file (corresponding to a SAR image) into records that can be accommodated by a storage device. Also, post-processor may include statistical  
5 information and the data type regarding SAR data 202. The statistical information and the data type may be used for decompressing compressed SAR data 212 at a subsequent time.

Compressed data 212 may be subsequently decompressed by using apparatus that utilizes inverse operations corresponding to the operations that are provided by apparatus 200 in a reverse order. Figure 12 shows an apparatus 1200 for decompressing compressed data 1212 (that  
10 was compressed by apparatus 200 as shown in Figure 2) into a decompressed data 1202 in accordance with an embodiment of the invention. (Decompressed data 1202 approximates data 202 within a specified maximum error.) An inverse post-processor 1209, a decoder 1107, an inverse quantizer 1205, an inverse transform module 1203, and an inverse preprocessor 1201 correspond to post-processor 209, encoder 207, quantizer 205, transform module 203, and  
15 preprocessor 201, respectively. However, an inverse operation may not be able to exactly recover data because of quantization restraints. For example, quantizer 203 divides a DCT coefficient by a corresponding element in the quantization matrix (which is obtained from a quantization conversion table selected by module 803 from knowledge database 807) and rounded to an integer. The operation of rounding to an integer may cause information about the  
20 DCT coefficient to be lost. Consequently, the lost information cannot be recovered by inverse quantizer 1205 in determining the DCT coefficient.

In the embodiment, compressed data 212 may be compliant with National Imagery Transmission Format (NITF) standards, in which header information about user-defined data (e.g. a quantization matrix) may be included. Thus, compressed data 212 may be compatible  
25 with processing software in accordance with Joint Photographic Experts Group (JPEG) compression standards.

Other embodiments of the invention may compress and decompress data that are characterized by a different number of components (often referred as dimensions). Data that is characterized by more than one component (e.g. 2, 3, or more components) are often referred as  
30 multidimensional data. In such cases, preprocessor 201 may determine statistical characteristics associated with each of the components and map each of the components to bins in accordance

with the statistical characteristics. Transform module 203 transforms each of the components according to a selected transform (e.g. a Fast Fourier Transform). Quantizer 205 subsequently quantizes each of the transformed components.

Classical Electro-Optical (EO) based metrics, such as root mean square error (RMSE) and Peak Signal to Noise Ratio (PSNR), are useful for evaluating the magnitude imagery, but the EO-based metrics may not provide sufficient information about the phase data or the other derived products. EO-based metrics provide a necessary but not a sufficient condition for complex data compression fidelity. Useful magnitude imagery may also be available from the compression process. The processes that generate phase data driven products such as interferometry, CCD and polarimetry may be included in the evaluations. Additional SAR data product metrics may be implemented to evaluate the phase information and any degradation of the products caused by compression.

An evaluation of compressed exemplary SAR data as processed by apparatus 200 indicates that, with SAR data 202 being compressed at ratios greater than twenty to one, apparatus 200 may achieve near-lossless results for magnitude images and minimal degradation to phase information.

As can be appreciated by one skilled in the art, a computer system with an associated computer-readable medium containing instructions for controlling the computer system can be utilized to implement the exemplary embodiments that are disclosed herein. The computer system may include at least one computer such as a microprocessor, digital signal processor, and associated peripheral electronic circuitry.

I/We Claim:

1. A method for compressing received data comprising a received In-phase (I) component and a received Quadrature (Q) component, the method comprising the steps of:

5 (a) determining a first statistical characteristic associated with the received I component and a second statistical characteristic associated with the received Q component;

(b) mapping the received I component to one of a first plurality of bins in accordance with the first statistical characteristic in order to form a converted I component, wherein a first resolution of the converted I component is reduced with  
10 respect to the received I component; and

(c) mapping the received Q component to one of a second plurality of bins in accordance with the second statistical characteristic in order to form a converted Q component, wherein a second resolution of the converted Q component is reduced with  
15 respect to the received Q component.

2. The method of Claim 1, wherein the first statistical characteristic and the second statistical characteristic are essentially the same.

20 3. The method of Claim 2, wherein one of the first and second statistical characteristics is approximated by another of the first and second statistical characteristics.

4. The method of Claim 1, wherein step (a) comprises the steps of:

25 (i) determining the first statistical characteristic from a first plurality of data points that are associated with the received I component; and

(ii) determining the second statistical characteristic from a second plurality of data points that are associated with the received Q component.

5. The method of Claim 1, further comprising the steps of:

30 (d) transforming the received I component and the received Q component in order to modify the first and second statistical characteristics; and

(e) inverse transforming the converted I component and the converted received Q component.

5        6.        The method of Claim 5, wherein step (d) utilizes a Fast Fourier Transform (FFT) and step (e) utilizes an inverse Fast Fourier Transform (IFFT).

7.        The method of Claim 1, further comprising the steps of:

(d)        transforming the converted I component into a transformed I component and the converted Q component into a transformed Q component; and

10        (e)        quantizing the transformed I component into a quantized I transform and the transformed Q component into a quantized Q transform.

8.        The method of Claim 7, wherein step (d) utilizes a Discrete Cosine Transform (DCT).

15

9.        The method of Claim 7, further comprising the step of:

(f)        encoding the quantized I transform into a compressed I component and the quantized Q transform into a compressed Q component.

20

10.        The method of Claim 9, wherein the compressed I component and the compressed Q component are compliant to National Imagery Transmission Format (NITF) standards.

25

11.        The method of Claim 7, wherein step (e) utilizes a quantization conversion table that is determined by a statistical characterization of the transformed I and Q components.

12.        The method of Claim 7, wherein step (e) utilizes a quantization conversion table that is determined by a heuristic process.

30

13.        The method of Claim 7, wherein step (e) utilizes a quantization conversion table that is determined by a statistical characterization of the transformed I and Q components and by a heuristic process.

14. The method of Claim 7, wherein step (e) utilizes a quantization conversion table that is determined by reducing a Measurement and Signature Intelligence (MASINT) product distortion.

5

15. The method of Claim 1, wherein the received data comprises synthetic aperture radar (SAR) data.

10

16. The method of Claim 1, wherein bins of the first plurality of bins and the second plurality of bins are assigned in accordance with a distribution of data points.

15

17. The method of Claim 1, wherein the first statistical characteristic comprises a first Probability Density Function (PDF) that is associated with the received I component and the second statistical characteristic comprises a second Probability Density Function (PDF) that is associated with the received Q component.

20

18. The method of Claim 17, wherein a first width of a first bin is inversely related to a first value of the first probability density function, and wherein a second width of a second bin is inversely related to a second value of the second probability density function.

25

19. The method of Claim 1, wherein step (b) is based upon a first dynamic range that is associated with the received I component and step (c) is based upon a second dynamic range that is associated with the received Q component.

30

20. A computer-readable medium having computer-executable instructions for performing the steps recited in Claim 1.

21. A computer-readable medium having computer-executable instructions for performing the steps recited in Claim 7.

22. A method for compressing received data comprising a received In-phase (I) component and a received Quadrature (Q) component, the method comprising the steps of:

(a) transforming the received I component and the received Q component into a magnitude component and a phase component;

(b) selecting a first number of bits from the magnitude component and a different second number of bits from the phase component; and

5 (c) forming a converted I component and a converted Q component from the first number of bits and the different second number of bits.

23. The method of Claim 22, further comprising the steps of:

10 (d) transforming the converted I component into a transformed I component and the converted Q component into a transformed Q component; and

(e) quantizing the transformed I component into a quantized I transform and the transformed Q component into a quantized Q transform.

24. The method of Claim 23, further comprising the step of:

15 (f) encoding the quantized I transform into a compressed I component and the quantized Q transform into a compressed Q component.

25. A computer-readable medium having computer-executable instructions for performing the steps recited in Claim 22.

20 26. A computer-readable medium having computer-executable instructions for performing the steps recited in Claim 23.

25 27. A method for decompressing data in order to approximate original data, the original data comprising an original In-phase (I) component and an original Quadrature (Q) component, the method comprising the steps of:

(a) obtaining a converted In-phase (I) component and a converted Quadrature (Q) component;

30 (b) determining a first statistical characteristic that is associated with the original I component and a second statistical characteristic that is associated with the original Q component;

(c) converting the converted I component into a decompressed I component and the converted Q component into a decompressed Q component in accordance with the first and second statistical characteristics, wherein the decompressed I component and the decompressed Q component comprise a greater number of bits than the converted I component and the converted Q component, respectively.

28. The method of Claim 27, wherein step (c) comprises the steps of:

- (i) mapping the converted I component to the decompressed I component from a first bin in accordance with the first statistical characteristic; and
- (ii) mapping the converted Q component to the decompressed Q component from a second bin in accordance with the second statistical characteristic.

29. The method of Claim 28, wherein the first statistical characteristic comprises a first probability density function that is associated with the original I component, and wherein the second statistical characteristic comprises a second probability density function that is associated with the original Q component.

30. The method of Claim 27, wherein the original data comprises synthetic aperture radar (SAR) data.

31. The method of Claim 27, wherein step (c) comprises the steps of:

- (i) converting the converted I component and the converted Q component into a magnitude component and a phase component; and
- (ii) forming the decompressed I component and the decompressed Q component from the magnitude component and the phase component.

32. The method of Claim 27, wherein step (a) comprises the steps of:

- (i) obtaining a quantized I transform and a quantized Q transform;
- (ii) inverse quantizing the quantized I transform into a transformed I component and the quantized Q transform into a transformed Q component; and
- (iii) inverse transforming the transformed I component into the converted I component and the transformed Q component into the converted Q component.

33. The method of Claim 32, wherein step (i) comprises the steps of:

(1) obtaining a compressed I component and a compressed Q component; and

(2) decoding the compressed I component into the quantized I transform and  
5 the compressed Q component into the quantized Q transform.

34. A computer-readable medium having computer-executable instructions for performing the steps recited in Claim 27.

10 35. A computer-readable medium having computer-executable instructions for performing the steps recited in Claim 32.

36. An apparatus for compressing received data, the received data comprising a received In-phase (I) component and a received Quadrature (Q) component, the apparatus  
15 comprising:

a preprocessor that determines a first statistical characteristic associated with the received I component and a second statistical characteristic associated with the received Q component and that converts the received I component into a converted I component in accordance with the first statistical characteristic and the received Q component into a converted Q component in accordance with a second statistical characteristic, wherein a  
20 first resolution of the converted I component and a second resolution of the converted Q component are reduced with respect to the received I and Q components, respectively;

a transform module that transforms the converted I component into a transformed I component and the converted Q component into a transformed Q component; and

25 a quantizer that obtains an indicator from the preprocessor about a data type of the received data, selects a quantization conversion table in accordance with the indicator, and quantizes the transformed I component into a quantized I transform and the transformed Q component into a quantized Q transform by utilizing the quantization conversion table.

30 37. The apparatus of Claim 36, wherein the first statistical characteristic and the second statistical characteristic are essentially the same.

38. The apparatus of Claim 36, further comprising:

an encoder that removes a degree of redundancy from the quantized I transform to form a compressed I component and from the quantized Q transform to form a compressed Q transform.

5

39. The apparatus of Claim 36, wherein the first statistical characteristic comprises a first probability density function that is associated with the received I component and the second statistic characteristic comprises a second probability density function that is associated with the received Q component.

10

40. The apparatus of Claim 36, wherein the received data comprises synthetic aperture radar (SAR) data.

41. An apparatus for decompressing data, comprising:

15

an inverse quantizer that obtains a quantized I transform and a quantized Q transform, obtains an indicator about a data type that is associated with the data, selects a quantization conversion table in accordance with the indicator, and inverse quantizes the quantized I transform into a transformed I component and the quantized Q transform into a transformed Q component;

20

an inverse transform module that inverse transforms the transformed I component into a converted I component and the transformed Q component into a converted Q component; and

25

an inverse preprocessor that converts the converted I component into a decompressed I component and the converted Q component into a decompressed Q component, wherein the decompressed I component and the decompressed Q component comprise a greater number of bits than the converted I component and the converted Q component, respectively.

42. The apparatus of Claim 41, further comprising:

30

a decoder that obtains a compressed I component and a compressed Q component and that converts the compressed I component into the quantized I transform and the compressed Q component into the quantized Q transform.

43. A method of compressing Synthetic Aperture Radar (SAR) data into compressed data, the SAR data comprising a received In-phase (I) component and a received Quadrature (Q) component, the method comprising the steps of:

- 5 (a) determining a first statistical characteristic associated with the received I component and a second statistical characteristic associated with the received Q component;
- (b) mapping the received I component to a first bin in accordance with the first statistical characteristic in order to form a converted I component, wherein a first plurality of bins comprises the first bin, wherein a first resolution of the converted I  
10 component is reduced with respect to the received I component, and wherein the first plurality of bins is assigned according to a first distribution of received I component data points;
- (c) mapping the received Q component to a second bin in accordance with the second statistical characteristic in order to form a converted Q component, wherein a second plurality of bins comprises the second bin, wherein a first resolution of the  
15 converted Q component is reduced with respect to the received I component, and wherein the second plurality of bins is assigned according to a second distribution of received Q component data points;
- 20 (d) transforming the converted I component into a transformed I component and the converted Q component into a transformed Q component;
- (e) quantizing the transformed I component into a quantized I transform and the transformed Q component into a quantized Q transform; and
- (f) encoding the quantized I transform into a compressed I component and  
25 the quantized Q transform into a compressed Q component.

44. A method of compressing Synthetic Aperture Radar (SAR) data into compressed data, the SAR data comprising a received In-phase (I) component and a received Quadrature (Q) component, the method comprising the steps of:

- 30 (a) transforming the received I component and the received Q component into a magnitude component and a phase component;
- (b) selecting a first number of bits from the magnitude component and a different second number of bits from the phase component;

(c) forming a converted I component and a converted Q component from the first number of bits and the different second number of bits, wherein a first resolution of the converted I component is reduced with respect to the received I component and wherein a second resolution of the converted Q component is reduced with respect to the received Q component;

(d) transforming the converted I component into a transformed I component and the converted Q component into a transformed Q component;

(e) quantizing the transformed I component into a quantized I transform and the transformed Q component into a quantized Q transform; and

(f) encoding the quantized I transform into a compressed I component and the quantized Q transform into a compressed Q component.

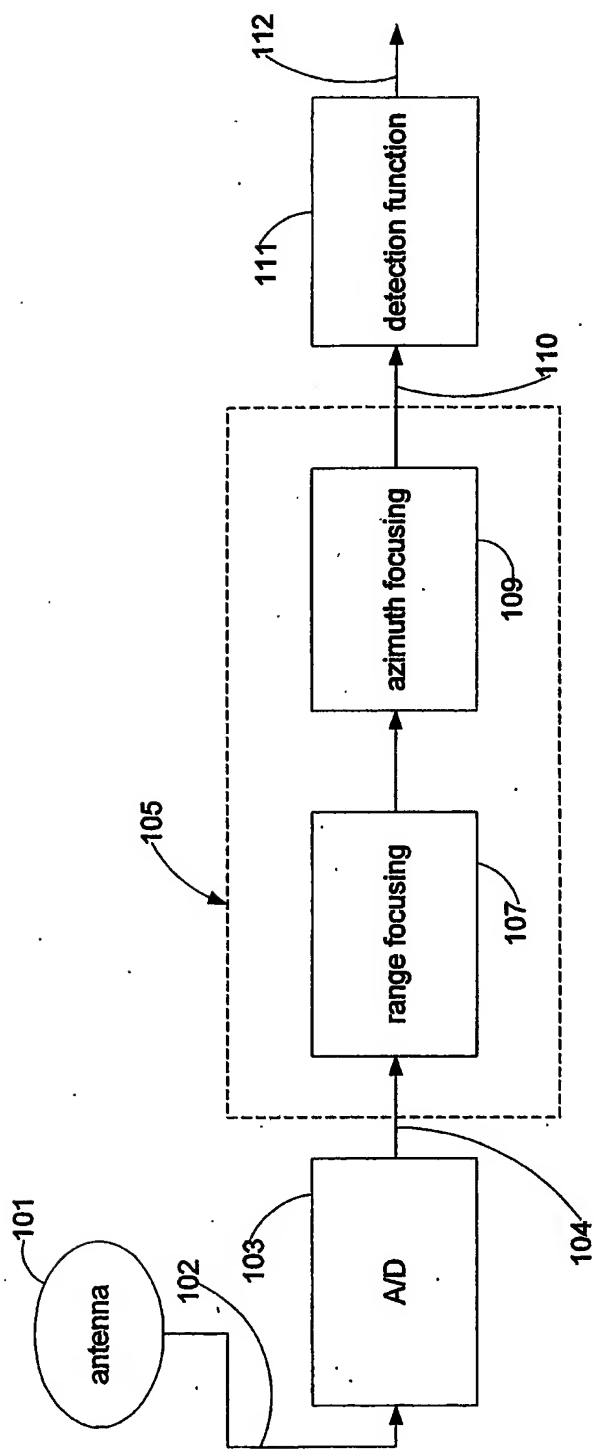
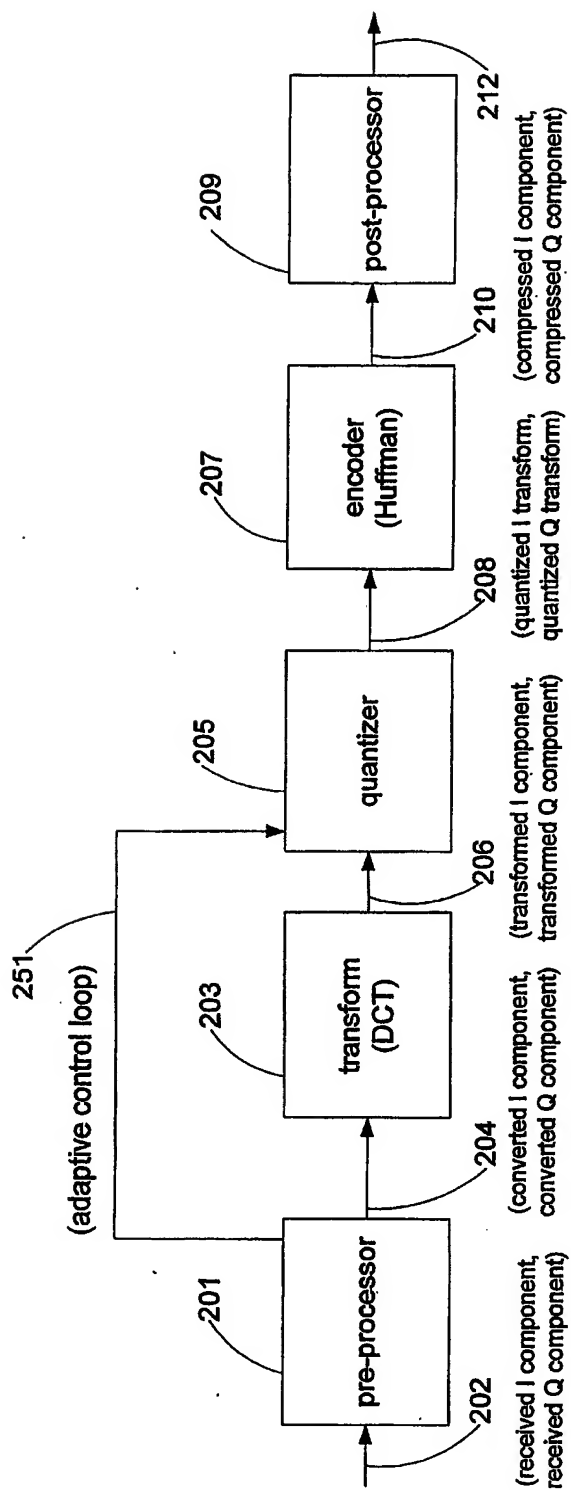


FIG. 1 (Prior Art)



200

FIG. 2

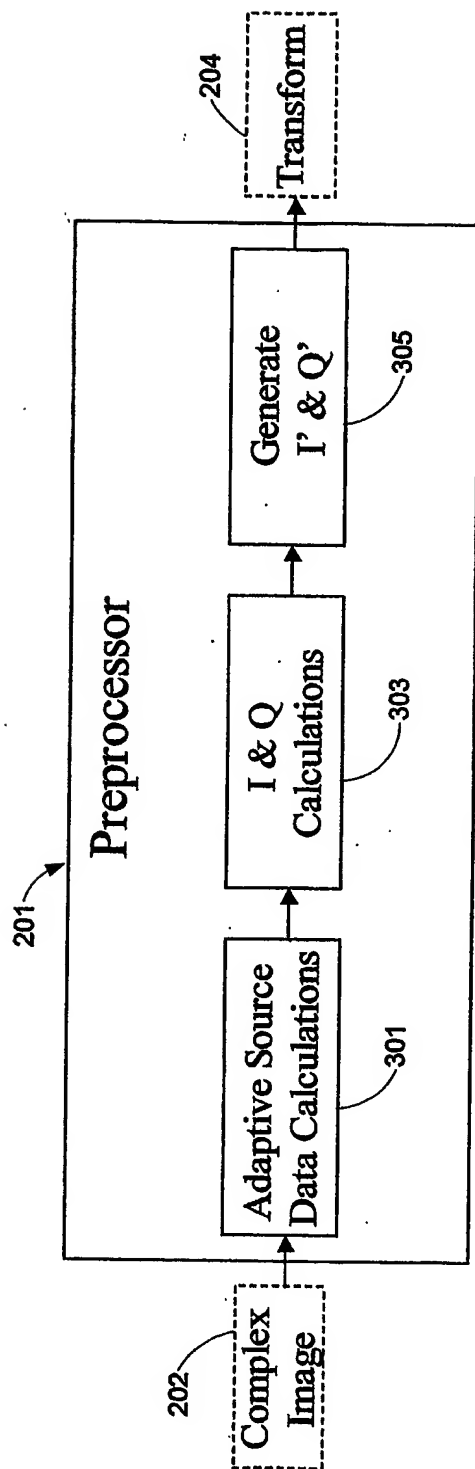


FIG. 3

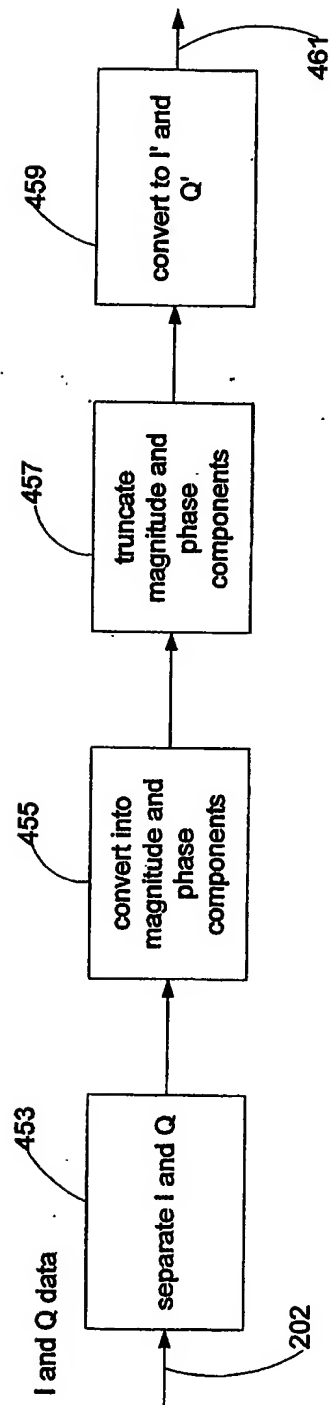


FIG. 4A

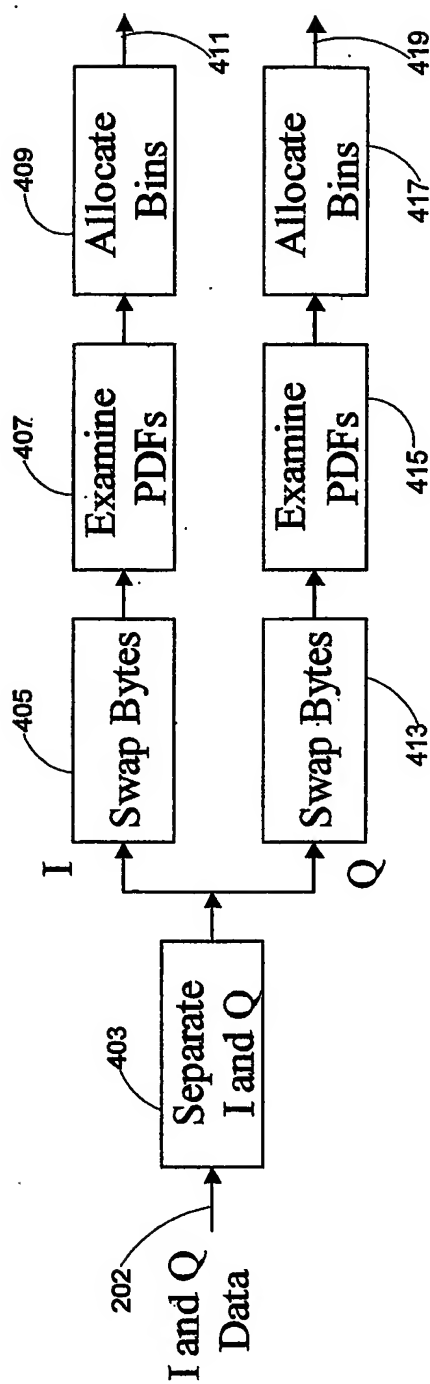


FIG. 4B

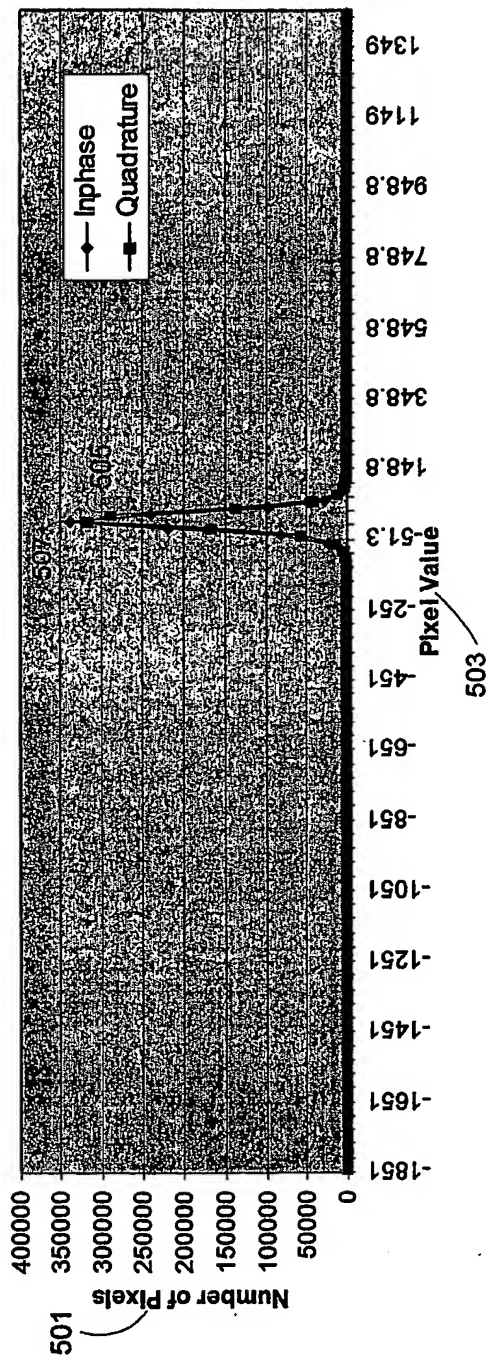


FIG. 5

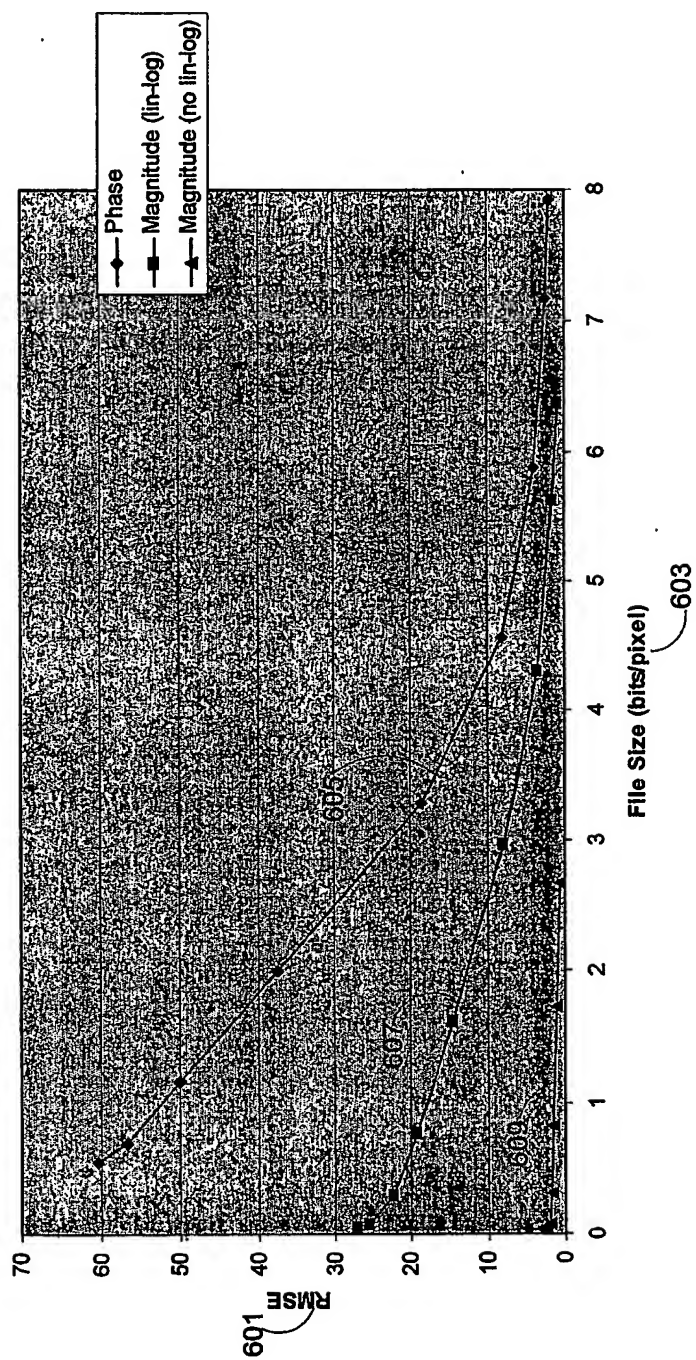


FIG. 6

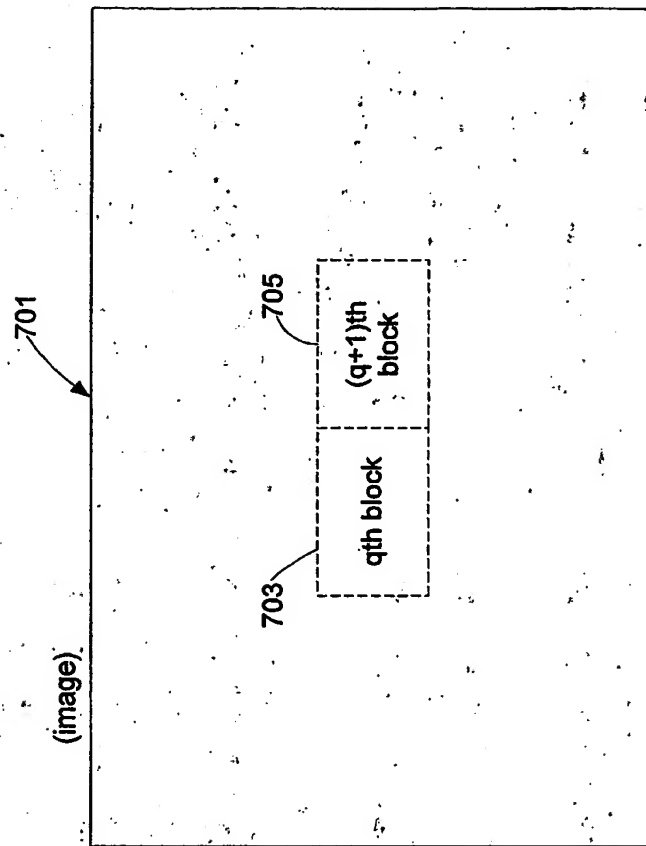


FIG. 7

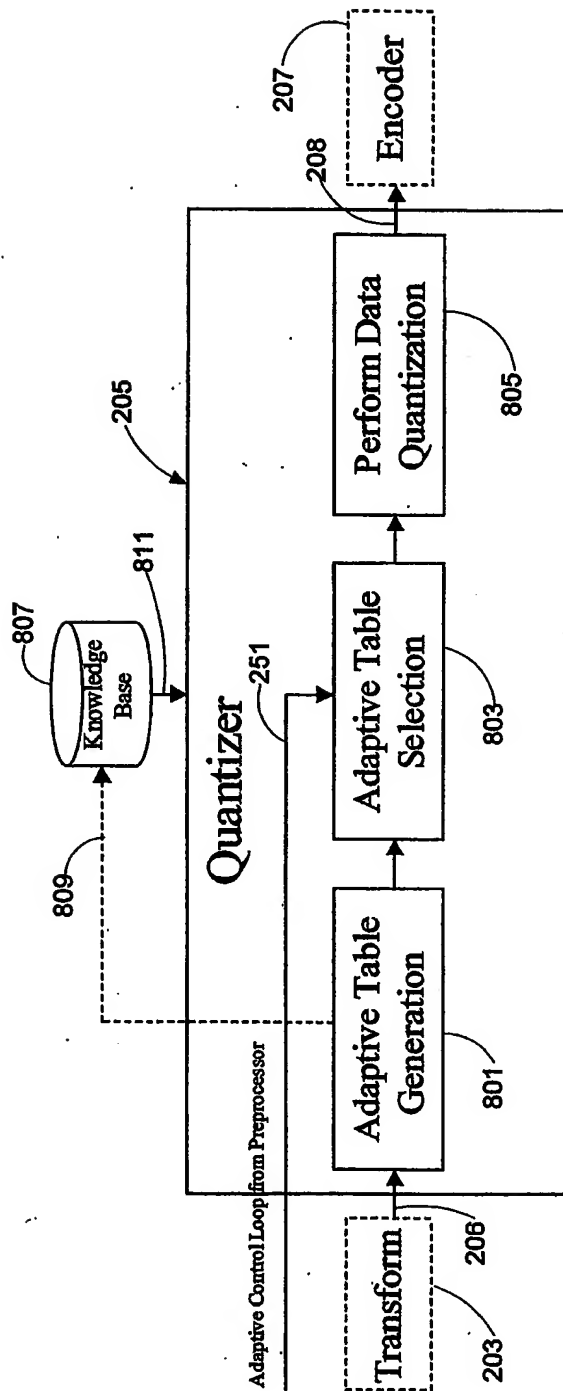


FIG. 8

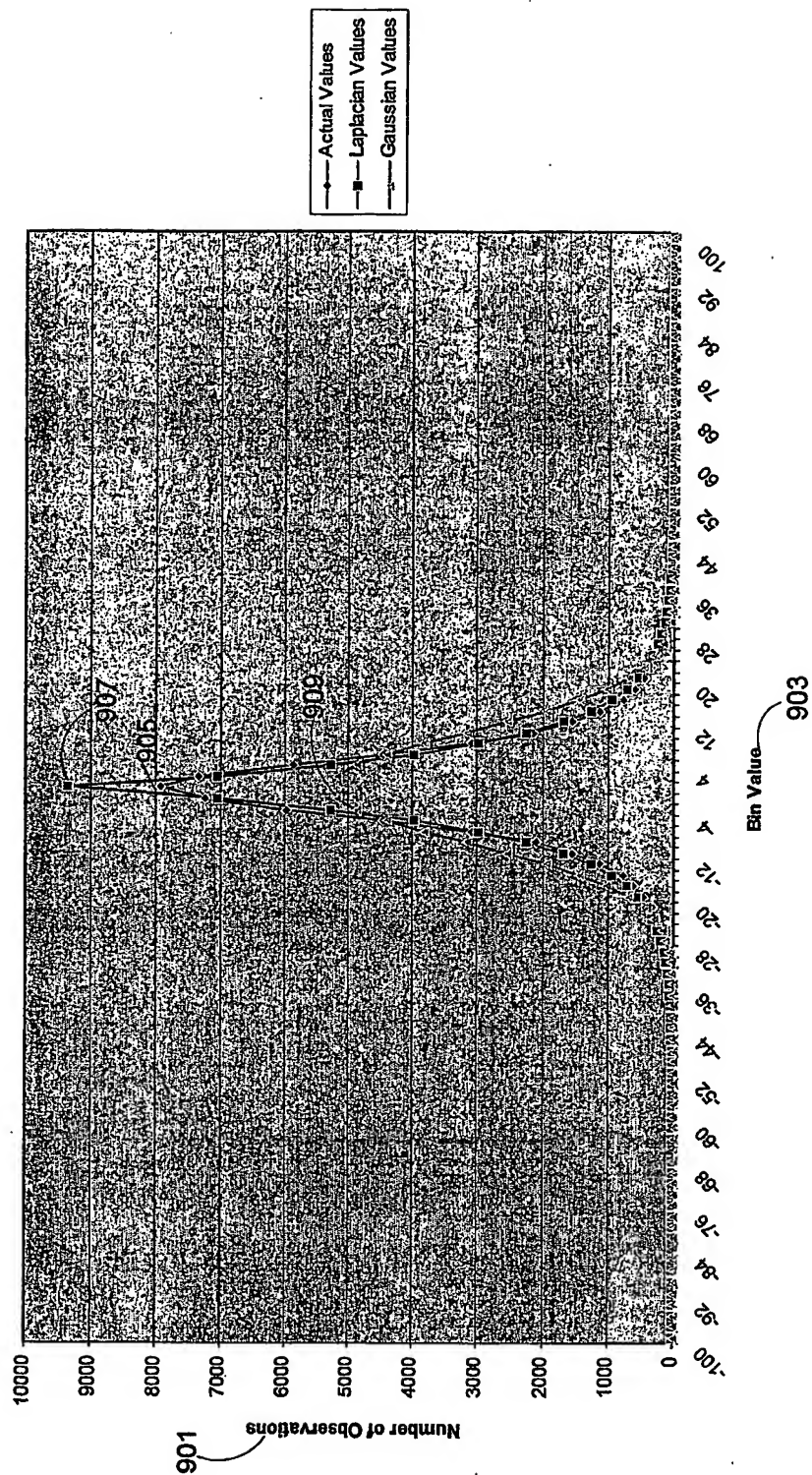


FIG. 9

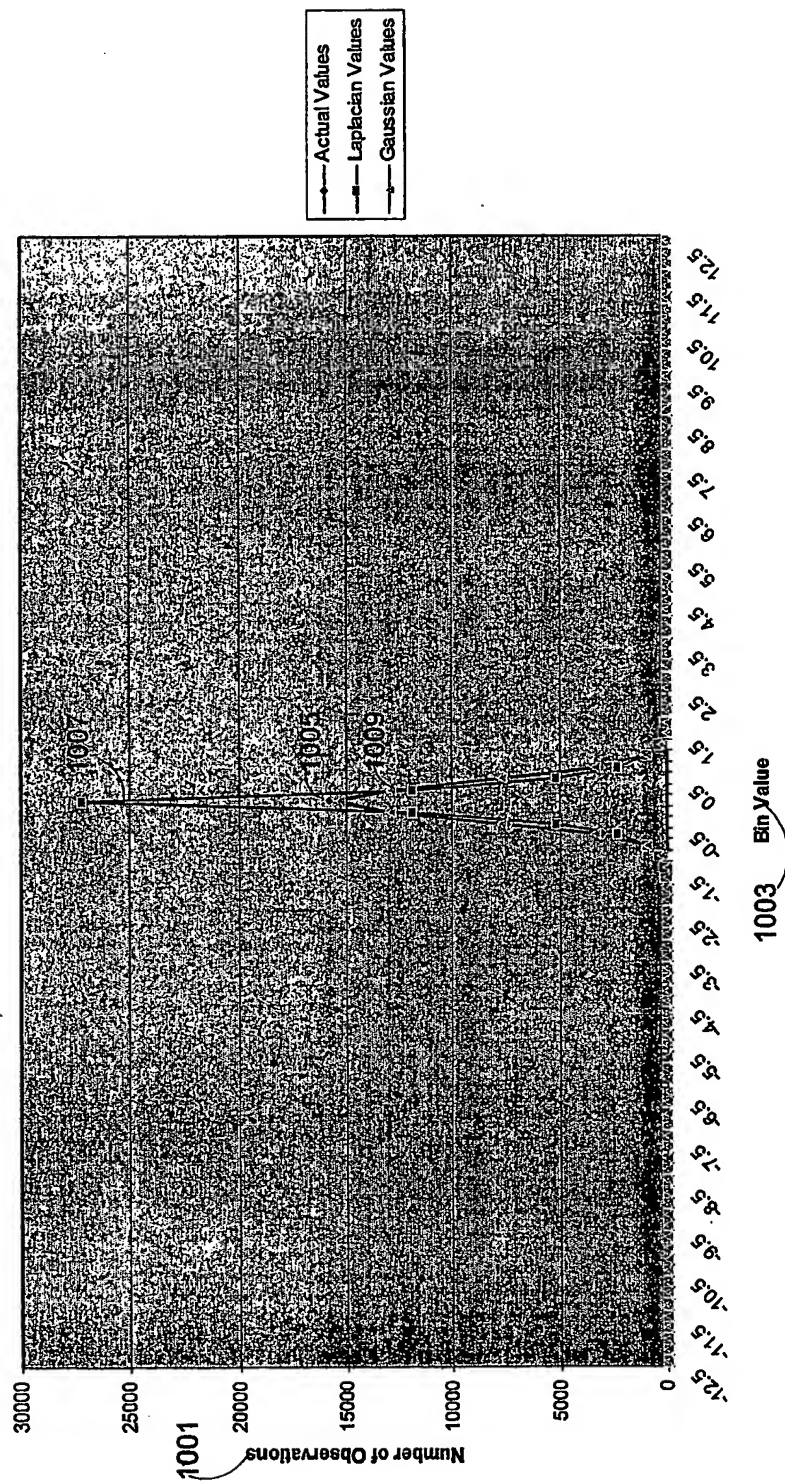


FIG. 10

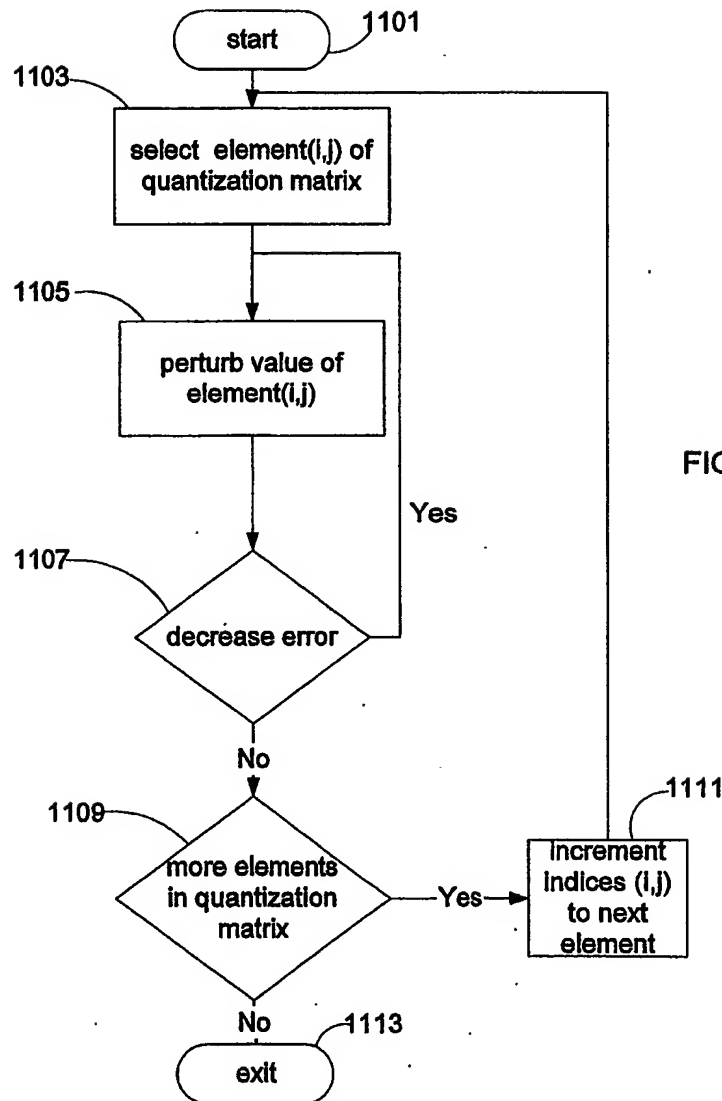
1100

FIG. 11

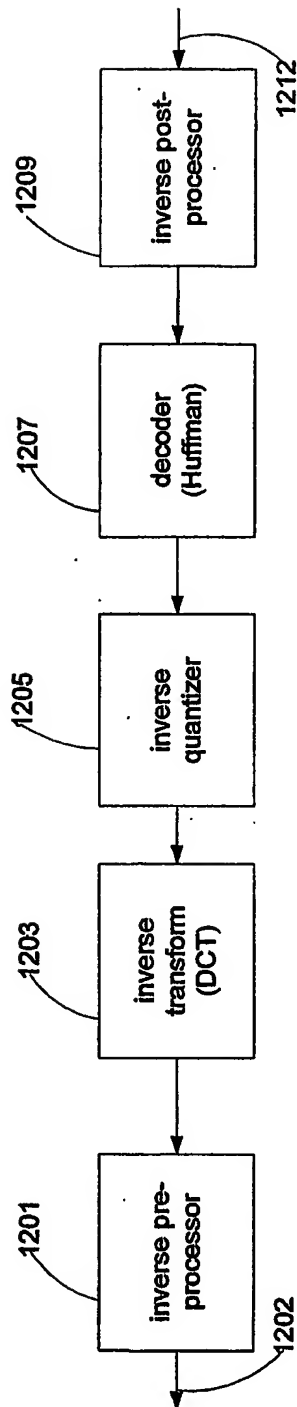
1200

FIG. 12

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